

## Introductory sub-table (I)(a)

Name of the Company: **CONFIDENCE FINANCE AND TRADING COMPANY LIMITED**

(Formerly known as Confidence Trading Company Limited)

Scrip Code, Name of the scrip, class of security: **504340**

Quarter ended: **30/06/2014**

| <b>Partly paid-up shares:-</b>   | <b>No. of partly paid-up shares</b>  | <b>As a % of total no. of partly paid-up shares</b>              | <b>As a % of total no. of shares of the company</b>   |
|--|--------------------------------------|--|---|
| Held by promoter/promoter group  | 0                                    | 0  | 0   |
| Held by public   | 0                                    | 0  | 0   |
| <b>Total - D</b>   | <b>0</b>                             | <b>0</b>   | <b>0</b>  |
| <b>Outstanding convertible securities:-</b>  | <b>No. of outstanding securities</b> | <b>As a % of total no. of outstanding convertible securities</b> | <b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b> |
| Held by promoter/promoter group  | 0                                    | 0  | 0   |
| Held by public   | 0                                    | 0  | 0   |
| <b>Total - E</b>   | <b>0</b>                             | <b>0</b>   | <b>0</b>  |
| <b>Warrants:-</b>  | <b>No. of warrants</b>               | <b>As a % of total no. of warrants</b>                           | <b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>                   |
| Held by promoter/promoter group  | 0                                    | 0  | 0   |
| Held by public   | 0                                    | 0  | 0   |
| <b>Total - F</b>   | <b>0</b>                             | <b>0</b>   | <b>0</b>  |
| <b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b> | <b>102500000</b>                     | <b>0</b>   | <b>0</b>  |



**Statement Showing Shareholding Pattern**

| Category code | Category of Shareholder   | Number of Shareholders | Table (I)(a)           |  | Total shareholding as a percentage of total number of shares |                            | Shares Pledged or otherwise encumbered |                      |
|---------------|---|------------------------|------------------------|--|--|----------------------------|--|----------------------|
|               |   |                        | Total number of shares | Number of shares held in dematerialized form | As a percentage of (A+B) <sup>1</sup>                        | As a percentage of (A+B+C) | Number of shares                       | As a percentage      |
|               |   |                        | (IV)                   | (V)  | (VI)   | (VII)                      | (VIII)                                 | (IX)=(VIII)/(IV)*100 |
| (A)           | <b>Shareholding of Promoter and</b>   |                        |                        |  |  |                            |  |                      |
| <b>1</b>      | <b>Indian</b>   |                        |                        |  |  |                            |  |                      |
| (a)           | Individuals/ Hindu Undivided Family   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| (b)           | Central Government/ State Government(s)   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| (c)           | Bodies Corporate  | 1                      | 1825000                | 1825000                                      | 1.78   | 1.78                       | 0                                      | 0.00                 |
| (d)           | Financial Institutions/ Banks   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| (e)           | Any Others(Specify)   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| (e-i)         | Directors   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| (e-ii)        | Director Relatives  | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| (e-iii)       | Person Acting in Concern  | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
|               | <b>Sub Total(A)(1)</b>  | <b>1</b>               | <b>1825000</b>         | <b>1825000</b>                               | <b>1.78</b>  | <b>1.78</b>                | <b>0</b>                               | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
| <b>2</b>      | <b>Foreign</b>  |                        |                        |  |  |                            |  | 0.00                 |
| a             | Individuals (Non-Residents Individuals/ Foreign Individuals)                          | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| b             | Bodies Corporate  | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| c             | Institutions  | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| d             | Qualified Foreign Investor  | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| e             | Any Others(Specify)   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| e-i           |   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
| e-ii          |   | 0                      | 0                      | 0  | 0.00   | 0.00                       | 0                                      | 0.00                 |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
|               | <b>Sub Total(A)(2)</b>  | <b>0</b>               | <b>0</b>               | <b>0</b>                                     | <b>0.00</b>  | <b>0.00</b>                | <b>0</b>                               | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
|               | <b>Total Shareholding of Promoter and</b>   | <b>1</b>               | <b>1825000</b>         | <b>1825000</b>                               | <b>1.78</b>  | <b>1.78</b>                | <b>0</b>                               | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
| (B)           | <b>Public shareholding</b>  |                        |                        |  |  |                            |  | 0.00                 |
| <b>1</b>      | <b>Institutions</b>   |                        |                        |  |  |                            |  | 0.00                 |
| (a)           | Mutual Funds/ UTI   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (b)           | Financial Institutions/ Banks   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (c)           | Central Government/ State Government(s)   |                        |                        |  |  |                            |  | 0.00                 |
| (d)           | Venture Capital Funds   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (e)           | Insurance Companies   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (f)           | Foreign Institutional Investors   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (g)           | Foreign Venture Capital Investors   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (h)           | Qualified Foreign Investor  | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (i)           | Any Other (specify)   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (i-i)         |   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (i-ii)        |   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
|               | <b>Sub-Total (B)(1)</b>   | <b>0</b>               | <b>0</b>               | <b>0</b>                                     | <b>0.00</b>  | <b>0.00</b>                |  | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
| <b>B 2</b>    | <b>Non-institutions</b>   |                        |                        |  |  |                            |  | 0.00                 |
| (a)           | Bodies Corporate  | 92                     | 55609663               | 55609663                                     | 54.25  | 54.25                      |  | 0.00                 |
| (b)           | Individuals   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| I             | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 416                    | 1331623                | 1319123                                      | 1.30   | 1.30                       |  | 0.00                 |
| II            | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.    | 38                     | 41462452               | 41462452                                     | 40.45  | 40.45                      |  | 0.00                 |
| (c)           | Qualified Foreign Investor  | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (d)           | Any Other (specify)   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (d-i)         | NRI (Repat & Non Repat)   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (d-ii)        | OCB's   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (d-iii)       | Trust   | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (d-iv)        | Hindu Undivided Family  | 46                     | 350162                 | 350162                                       | 0.34   | 0.34                       |  | 0.00                 |
| (d-v)         | Employee  | 0                      | 0                      | 0  | 0.00   | 0.00                       |  | 0.00                 |
| (d-vi)        | Clearing Members  | 1                      | 1921100                | 1921100                                      | 1.87   | 1.87                       |  | 0.00                 |
|               | <b>Sub-Total (B)(2)</b>   | <b>593</b>             | <b>100675000</b>       | <b>100662500</b>                             | <b>98.22</b>   | <b>98.22</b>               | <b>0</b>                               | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
| (B)           | <b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>                                   | <b>593</b>             | <b>100675000</b>       | <b>100662500</b>                             | <b>98.22</b>   | <b>98.22</b>               |  | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
| (C)           | <b>TOTAL (A)+(C) Shares held in which Deposit issued</b>                              | <b>594</b>             | <b>102500000</b>       | <b>102487500</b>                             | <b>100.00</b>  | <b>100.00</b>              |  | <b>0.00</b>          |
| 1             | Promoter and I  | 0                      | 0                      | 0  |  | 0.00                       | 0                                      | 0.00                 |
| 2             | Public  | 0                      | 0                      | 0  |  | 0.00                       | 0                                      | 0.00                 |
|               | <b>Sub-Total (C)</b>  | <b>0</b>               | <b>0</b>               | <b>0</b>                                     |  | <b>0</b>                   | <b>0</b>                               | <b>0.00</b>          |
|               |   |                        |                        |  |  |                            |  | 0.00                 |
|               | <b>GRAND TOTAL (A)+(B)+(C)</b>  | <b>594</b>             | <b>102500000</b>       | <b>102487500</b>                             |  | <b>100.00</b>              | <b>0</b>                               | <b>0.00</b>          |



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No.      | Name of the shareholder | Details of Shares held |                                       | Encumbered shares (*) |                    |   | Details of warrants     |   | Details of convertible securities     |   | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|-------------------------|------------------------|---------------------------------------|-----------------------|--------------------|---|-------------------------|---|---------------------------------------|---|--|
|              |                         | Number of shares held  | As a % of grand total (A) +(B) +( C ) | No.                   | As a percentage    | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a ) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class |  |
| (I)          |                         | (III)                  | (IV)                                  | (V)                   | (VI)=(V)/(III)*100 | (VII)   | (VIII)                  | (IX)  | (X)                                   | (XI)  | (XII)  |
| 1            | TARAN                   | 1825000                | 1.78                                  | 0                     | 0.00               | 0.00  | 0                       | 0   | 0                                     | 0   | 0.00   |
| <b>TOTAL</b> |                         | <b>1825000</b>         | <b>1.78</b>                           | <b>0</b>              | <b>0.00</b>        | <b>0.00</b>   | <b>0</b>                | <b>0</b>  | <b>0</b>                              | <b>0</b>  | <b>0.00</b>  |

(\*) The term "€



meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

| Sr.No | Notes |
|-------|-------|
| 1     |       |
| 2     |       |
| 3     |       |
| 4     |       |
| 5     |       |
| 6     |       |
| 7     |       |
| 8     |       |
| 9     |       |

**(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares**

| Sr. No.      | Name of the shareholder             | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants     |   | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|-------------------------------------|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
|              |                                     |                       |  | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1            | PUSHPABEN PANACHAND GALA            | 4479900               | 4.37   | 0                       | 0   | 0                                     | 0  | 4.37   |
| 2            | SANGEETA ASHWIN SHAH                | 3755990               | 3.66   | 0                       | 0   | 0                                     | 0  | 3.66   |
| 3            | BHAVYA SACHIN GALA                  | 3748300               | 3.66   | 0                       | 0   | 0                                     | 0  | 3.66   |
| 4            | GUNVANTI VASANTLAL SAVLA            | 3000000               | 2.93   | 0                       | 0   | 0                                     | 0  | 2.93   |
| 5            | ROMY REALTY PRIVATE LTD.            | 2808382               | 2.74   | 0                       | 0   | 0                                     | 0  | 2.74   |
| 6            | LIMESTONE PROPERTIES PRIVATE LIMITE | 2772730               | 2.71   | 0                       | 0   | 0                                     | 0  | 2.71   |
| 7            | SHIVKHORI CONSTRUCTION PRIVATE LIMI | 2755000               | 2.69   | 0                       | 0   | 0                                     | 0  | 2.69   |
| 8            | SMC GLOBAL SECURITIES LTD.          | 2720224               | 2.65   | 0                       | 0   | 0                                     | 0  | 2.65   |
| 9            | DOLEX COMMERCIAL PVT LTD            | 2596550               | 2.53   | 0                       | 0   | 0                                     | 0  | 2.53   |
| 10           | SKUNG TRADELINK LIMITED             | 2512750               | 2.45   | 0                       | 0   | 0                                     | 0  | 2.45   |
| 11           | SAPNA RAMDAS JATWAL                 | 2500000               | 2.44   | 0                       | 0   | 0                                     | 0  | 2.44   |
| 12           | WAKIL RAJBHAR                       | 2400000               | 2.34   | 0                       | 0   | 0                                     | 0  | 2.34   |
| 13           | SACHIN PANACHAND GALA               | 2360000               | 2.30   | 0                       | 0   | 0                                     | 0  | 2.30   |
| 14           | KINGFISHER PROPERTIES PRIVATE LIMIT | 2092000               | 2.04   | 0                       | 0   | 0                                     | 0  | 2.04   |
| 15           | SURFACE FINANCE PVT. LTD.           | 2010059               | 1.96   | 0                       | 0   | 0                                     | 0  | 1.96   |
| 16           | GATEWAY FINANCIAL SERVICES LIMITED  | 2000000               | 1.95   | 0                       | 0   | 0                                     | 0  | 1.95   |
| 17           | VICTORY SALES PVT LTD               | 1986520               | 1.94   | 0                       | 0   | 0                                     | 0  | 1.94   |
| 18           | ISAIRIS TRADING PRIVATE LIMITED     | 1982459               | 1.93   | 0                       | 0   | 0                                     | 0  | 1.93   |
| 19           | VIPUL BHATT                         | 1952830               | 1.91   | 0                       | 0   | 0                                     | 0  | 1.91   |
| 20           | MUKESH BROKERAGE AND FINANCIAL (I)  | 1921100               | 1.87   | 0                       | 0   | 0                                     | 0  | 1.87   |
| 21           | SUDHA SHANTILAL SHAH                | 1862000               | 1.82   | 0                       | 0   | 0                                     | 0  | 1.82   |
| 22           | GANNAYAK BARTER PRIVATE LIMITED     | 1782000               | 1.74   | 0                       | 0   | 0                                     | 0  | 1.74   |
| 23           | KINJAL VASANTLAL SAVLA              | 1761070               | 1.72   | 0                       | 0   | 0                                     | 0  | 1.72   |
| 24           | FIVE X FINANCE AND INVESTMENT LIMIT | 1630214               | 1.59   | 0                       | 0   | 0                                     | 0  | 1.59   |
| 25           | MORYO INDUSTRIES LTD                | 1547010               | 1.51   | 0                       | 0   | 0                                     | 0  | 1.51   |
| 26           | TANAYA VINCOM PRIVATE LIMITED       | 1450760               | 1.42   | 0                       | 0   | 0                                     | 0  | 1.42   |
| 27           | ESQUIRE ENCLAVE PRIVATE LIMITED     | 1430000               | 1.40   | 0                       | 0   | 0                                     | 0  | 1.40   |
| 28           | DINESH N PAGI                       | 1398810               | 1.36   | 0                       | 0   | 0                                     | 0  | 1.36   |
| 29           | RUCHITA AMIT SHAH                   | 1352890               | 1.32   | 0                       | 0   | 0                                     | 0  | 1.32   |
| 30           | VEENA KIRTILAL SHAH                 | 1350590               | 1.32   | 0                       | 0   | 0                                     | 0  | 1.32   |
| 31           | SHIPRA FABRICS PVT LTD              | 1286960               | 1.26   | 0                       | 0   | 0                                     | 0  | 1.26   |
| 32           | LUNKAD TEXTILES PVT LTD             | 1245300               | 1.21   | 0                       | 0   | 0                                     | 0  | 1.21   |
| 33           | SAGAR G BHATT                       | 1225500               | 1.20   | 0                       | 0   | 0                                     | 0  | 1.20   |
| 34           | B K DYEING AND PRINTING MILLS PVT L | 1218500               | 1.19   | 0                       | 0   | 0                                     | 0  | 1.19   |
| 35           | RADISON PROPERTIES PRIVATE LIMITED  | 1195000               | 1.17   | 0                       | 0   | 0                                     | 0  | 1.17   |
| 36           | GARTH MERCANTILE PRIVATE LIMITED    | 1190438               | 1.16   | 0                       | 0   | 0                                     | 0  | 1.16   |
|              |                                     |                       | 0.00   | 0                       | 0   | 0                                     | 0  | 0.00   |
| <b>TOTAL</b> |                                     | <b>75281836</b>       | <b>73.45</b>   | <b>0</b>                | <b>0</b>  | <b>0</b>                              | <b>0</b>   | <b>73.45</b>   |



**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company**

| Sr. No.      | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants |                                       | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|---|------------------|--|---------------------|---------------------------------------|---------------------------------------|--|--|
|              |   |                  |  | Number of warrants  | As a % total number of warrants of .. | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1            | Nil   | 0                | 0.00   |                     |                                       | 0                                     | 0  | 0.00   |
| <b>TOTAL</b> |   | <b>0</b>         | <b>0.00</b>  |                     |                                       | <b>0</b>                              | <b>0</b>   | <b>0.00</b>  |



(I)(d) Statement showing details of locked-in shares

| Sr. No.      | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-------------------------|----------------------------|--|
|              | NIL                     | NIL                        | NIL  |
| <b>TOTAL</b> |                         |                            |  |







