

CONFIDENCE FINANCE AND TRADING LIMITED

(Formerly known as Confidence Trading Company Limited)

Registered Office: 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 www.ctcl.co.in ctclbse@gmail.com CIN: L51909MH1980PLC231713

BSE CODE: 504340

Sub: - Compliance Report on Corporate Governance for the Quarter

Ended 31st December, 2015

1. Composition of Board of Directors

Title	Name	PAN & DIN	Category	Date of Appointment	Tenure	No of Directors hip in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Amruth Joachim Coutinho	00477933	Managing director	05-07-2011	-	1	4	-
Ms.	Sneha Moreshwar Raut	03161352	Director	13-08-2015	5 Years	1	5	-
Mr.	Ashok Nagori	02025485	Director	13-08-2015	5 Years	1	5	-
Mr.	Manish Naginlal Jain	00165472	Director	13-08-2015	-	1	4	-
Mr.	Manoj Naginlal Jain	00165280	Director	21-02-2011	-	1	4	1
Ms.	Swati P Panchal	05281377	Director	14-02-2014	4 Years	1	5	1

2. Composition of committees

Name of Committee	Name of committee members	Category
1. Audit Committee	Ms. Sneha Moreshwar Raut	Independent
	Mr. Ashok Nagori	Independent
	Mr. Manoj Naginlal Jain	Executive
	Ms. Swati P Panchal	Chairperson
2. Nomination & Remuneration Committee	Ms. Sneha Moreshwar Raut	Independent
	Mr. .Ashok Nagori	Independent

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	Ms. Swati P Panchal	Chairperson
3. Risk Management Committee(if applicable)	Mr. .Ashok Nagori	Independent
	Ms. Sneha Moreshwar Raut	Independent
	Mr. Manoj Naginlal Jain	Executive
	Ms. Swati P Panchal	Chairperson
4.Share Transfer Committee	Mr. .Ashok Nagori	Independent
	Ms. Sneha Moreshwar Raut	Independent
	Mr. Manoj Naginlal Jain	Executive
	Ms. Swati P Panchal	Chairperson
5.Stakeholders Relation Committee	Mr. .Ashok Nagori	Independent
	Ms. Sneha Moreshwar Raut	Independent
	Mr. Manoj Naginlal Jain	Executive
	Ms. Swati P Panchal	Chairperson

3. Meeting of board of directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
13 th August,2015	7 th November,2015	85 Days

4. Meeting of committees

Date(s) of meeting of the committee in the relevant quarter		Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Type of Committee	Date Of Meeting			
Audit Committee	6 th November,2015	Yes	12 th August,2015	83 Days
Nomination & Remuneration Committee	11 th November,2015	Yes	18 th August,2015	90 Days
Share Transfer Committee	4 th October, 2015	Yes	24 th July,2015	71 Days
Stakeholders Relationship Committee	12 th December,2015	Yes	25 th September,2015	77 Days
Risk Management Committee	25 th November, 2015	Yes	14 th August,2015	97 Days

5. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	N.A.

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6. Affirmations

- A. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- B. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
 - d. Risk management committee (applicable to the top 100 listed entities)
- C. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- D. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- E. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: No Comments

For Confidence Finance and Trading Limited

 

Manoj Jain
Director
DIN : 00165280