

# CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3<sup>rd</sup> Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 [www.ctcl.co.in](http://www.ctcl.co.in) [ctclbse@gmail.com](mailto:ctclbse@gmail.com) CIN: L51909MH1980PLC231713

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Date: 17/10/2017

To,  
The Manager,  
Department of Corporate Services  
BSE Ltd.  
Dalal Street, Fort,  
Mumbai – 400 001

Dear Sir/Madam,

Sub:- Certificate pursuant to Regulation 40 (9) & (10) of SEBI (LODR) Regulations, 2015  
Ref : Scrip Code 504340


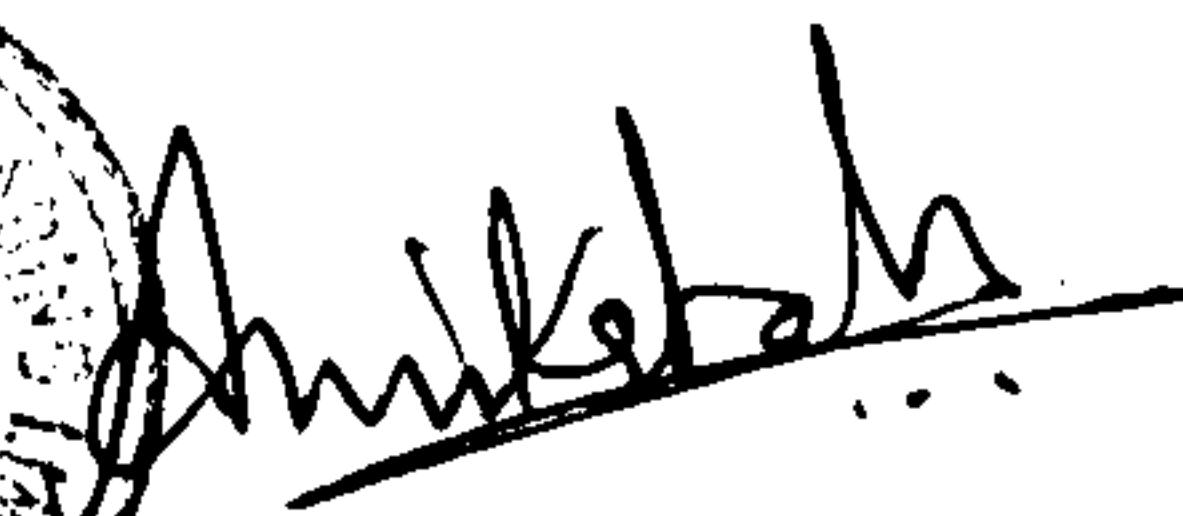
Pursuant to Regulation 40(9) & (10) of the SEBI (LODR) Regulations, 2015, we are enclosing herewith a certificate issued by Deepika Singhvi, Practising Company Secretary for the half year ending on 30<sup>th</sup> September, 2017.

This is for your information and records.

Thanking You

Yours Faithfully

**For Confidence Finance and Trading Limited**

  
  
**Amiksha Bhatiwara**  
**Company Secretary**

Encl: as above



**DEEPIKA SINGHVI**

**PRACTICING COMPANY SECRETARY**

121-122, SIDDHI PLAZA, PUR ROAD, BHILWARA, RAJASTHAN-311001

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS  
FOR THE HALF YEAR ENDED (half year ended on 30<sup>th</sup> September, 2017.)**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **CONFIDENCE FINANCE AND TRADING LIMITED** having its registered office at 9, Botawala Building, 3<sup>rd</sup> Floor, Horniman Circle, Fort, Mumbai, Maharashtra-400001 maintained by **Purva Share Registry (India) Private limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **CONFIDENCE FINANCE AND TRADING LIMITED** and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on **30<sup>th</sup> September, 2017**.

A) Share Certificate(s) relating to the Share Transfer form(s) during the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds. –N.A. as no requests has been received during the period.

B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within Fifteen days of lodgment.- N.A. as no requests has been received during the period.

As per the records available to me there were no requests relating to exchange or endorsement of calls/ allotment monies received during the said period.

C) Any other information, if applicable-N.A.



Place: Mumbai

Date: 13<sup>th</sup> October, 2017

**Deepika Singhvi**

C.P. No.-15588

M. No.-41005